Template No. 37

Clearing Member details:

Name

KDPW\_CCP S.A.

4, Książęca Street

00-498 Warsaw, Poland

**BUYER PROTECTION REQUEST**

I/We the undersigned, acting for and on behalf of the Clearing Member \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_,

to the extent of the Member’s activities under the institution code \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ ,

hereby submit this request whereby the Clearing Member/client of the Clearing Member\* intends to exercise a property right attached to securities in connection with the following optional corporate event and use additional buyer protection:

|  |  |
| --- | --- |
| Ref. no.: | *Request identifier (request identification if the Member submits more than one request on the same day)* |
| Request date: | (DD-MM-YY) *the date of drafting and the date of signing the request should match* |
| Name and type of security: | *identifier of the security in the cleared transaction subject to the optional corporate event*  |
| ISIN: | *ISIN code of the securities in the transaction subject to the request* |
| Deadline for exercising the right under the optional corporate event: | *DD-MM-YY: HH-MI (CET)* |
| Type of optional corporate event (CAEV):  | *indicate the type of optional corporate event/if not supported by CSD, indicate ISO code* |
| COAF | *Official unique identifier of the corporate event maintained throughout the communication chain for the event. If COAF does not exist, the field should be left blank.* |
| **Details of the optional corporate event:** |  | ***Option code:*** | **Default option flag (Yes/No):** | **Place of publication of the optional corporate event:** |
|  |
| Option 1: | *Data should be provided for 1 security subject to the cleared transaction.**According to the ISO definition* | *Option code (e.g., CASH, SECU). If option code information is not available, describe the option, including non-participation in the optional corporate event* |  | *Link to the website of the official publication of the optional corporate event by the issuer or authorised person*  |
| Option 2: | *As above* | *As above* |  | *As above* |
| Option 3: | *As above* | *As above* |  | *As above* |
| **Details of the cleared transaction:** |
| **Transaction identifier and clearing account identifier** | **RvP / FoP** | **Quantity of securities** | **Amount and currency** | **Trade date** | **Expected settlement date** |   |   |   |   |
| *Identifier of the transaction subject to the request in the clearing system and identifier of the clearing account on which the transaction is recorded* | *RvP* | *Quantity of securities in the transaction subject to the request* | *Identifier of the currency of the transaction subject to the request and the settlement amount of the transaction subject to the request* | DD-MM-YY*(CET)* | DD-MM-YY*(CET)* |  |   |   |   |
| *Identifier of the next transaction subject to the request in the clearing system and identifier of the clearing account on which the transaction is recorded* | *RvP* | *Quantity of securities in the transaction subject to the request* | *Identifier of the currency of the transaction subject to the request and the settlement amount of the transaction subject to the request* | DD-MM-YY*(CET)* | DD-MM-YY*(CET)* |  |  |  |  |
| **One option selected:** | **Details of the property rights attached to the securities to be received by the person entitled under the securities:** |  |  |  |  |
| **Quantity/nominal amount of securities** | **Transaction identifier and clearing account identifier** | **Quantity/nominal amount of securities for execution in the selected option** | **Option identifier** | **ISIN** | **Amount/quantity of assets to be received by the eligible person** | **Currency** |  |  |  |  |
| *Quantity/nominal amount of securities to which the holder is entitled from the securities subject to the cleared transaction* |  *Transaction identifier and clearing account identifier, as above* | *Quantity/nominal amount of securities in the transaction to be presented for execution according to the option selected to which the holder is entitled from the securities subject to the cleared transaction* | *As per the option identifier (option number) in connection with the optional corporate event above* | *Identifier of the security to which the person will be entitled* | *Total cash amount/quantity of securities* | *Currency of the cash amount to be received*  |  |  |  |  |
|  |   |   |   |   |   |   |   |   |   |

Furthermore, we declare that:

1. the data contained in this request are factually and legally correct,
2. we have/our client has\* the intention to exercise the property right attached to the above mentioned securities subject to the cleared transaction in connection with the above mentioned optional corporate event\*,
3. we represent that this request is made in accordance with the intention of the client\*,
4. we undertake to settle with the client upon receipt of the benefit under the additional buyer protection\*,
5. if the cleared transaction subject to this request is settled in part, we undertake to correct this request immediately.

\* delete if not applicable

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 *first name, surname, signature of the Member’s authorised representative*

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 *first name, surname, signature of the Member’s authorised representative*