**Risk Management Access SERVICE ORDER**

1. **General**

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| **1. Details of GPW which provides the RMA service**  Giełda Papierów Wartościowych w Warszawie S.A. (Warsaw Stock Exchange) with its registered office in Warsaw, address: ul. Książęca 4, 00-498 Warsaw, (REGON: 012021984, NIP: 526-025-09-72), entered in the business register maintained by the District Court for the City of Warsaw in Warsaw, entry no. KRS 0000082312, share capital of PLN 41,972,000 fully paid up | |
|  | |
| **2. Details of the Clearing Member** (mandatory section) | |
| Name/Seat |  |
| Address |  |
| Registration/equity |  |
| **2.1 Non-personalised email address to report incidents of the RMA Service** (mandatory section) | |
| Email |  |
| **2.2 Non-personalised email address to report GPW BCP events** (mandatory section) | |
| Email |  |

1. **Type of order**

|  |  |  |
| --- | --- | --- |
| 1. **Purpose of the order** (mandatory section) | | |
|  |  |  |
| **Open** access to the RMA Service  /  Add access to the RMA Service for a new Exchange Member | **Modify** access to the RMA Service of the Exchange Member named below | **Delete** access to the RMA Service of the Exchange Member named below |

1. **Details of the entity represented in clearing (“Exchange Member”) for which the RMA Service is to be used**

|  |  |
| --- | --- |
| 1. **Details of the Exchange Member** (mandatory section) | |
| EM name |  |
| EM’s KDPW ID |  |

1. **Representation on the scope of authorisation of the Clearing Member of the named Exchange Member**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| 1. **Category of instruments on which the Exchange Member is to set limits** (mandatory section) | | | | | |
|  | |  | |  | |
| CASH market | | DERIVATIVES market | | Structured instruments | |
| 1. **Representation concerning an agreement with the named Exchange Member as a clearing member for the categories of instruments** (mandatory section) | | | | | |
| CASH market | | DERIVATIVES market | | Structured instruments | |
| I AM A CM  to this extent | I AM NOT A CM  to this extent | I AM A CM  to this extent | I AM NOT A CM  to this extent | I AM A CM  to this extent | I AM NOT A CM  to this extent |
| 1. **Representation concerning the scope of operation using the RMA Service for transactions of the named Exchange Member for the categories of instruments** (mandatory section) | | | | | |
| Set limits  + Kill Switch functionality (active profile) | | | Set limits  NO Kill Switch functionality (passive profile) | | |

1. **Clearing Member’s access to the RMA Service for the named Exchange Member**

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| --- | --- | --- | --- | --- | --- |
| 1. **Number of ACTIVE profiles used by the Clearing Member** (mandatory section) | | | | | |
| Number of ordered access profiles: | | | (not more than 4) | | |
| * 1. **Active profile 1 – details** | | | | | |
| Full name: | |  | | | |
| **Filled out by GPW:** | | | | | |
| *Assigned login* |  | | | *RSA token ID* |  |
| * 1. **Active profile 2 – details** | | | | | |
| Full name: | |  | | | |
| **Filled out by GPW:** | | | | | |
| *Assigned login* |  | | | *RSA token ID* |  |
| * 1. **Active profile 3 – details** | | | | | |
| Full name: | |  | | | |
| **Filled out by GPW:** | | | | | |
| *Assigned login* |  | | | *RSA token ID* |  |

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|  | | | | | |
| 1. **Number of PASSIVE profiles used by the Clearing Member** | | | | | |
| Number of ordered access profiles: | | |  | | |
| * 1. **Passive profile 1 – details** | | | | | |
| Full name: | |  | | | |
| **Filled out by GPW:** | | | | | |
| *Assigned login* |  | | | *RSA token ID* |  |
| * 1. **Passive profile 2 – details** | | | | | |
| Full name: | |  | | | |
| **Filled out by GPW:** | | | | | |
| *Assigned login* |  | | | *RSA token ID* |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **For the Clearing Member:** | | | |
| Full name |  | Full name |  |
| Function |  | Function |  |
| Date |  | Date |  |
| Signature |  | Signature |  |

|  |  |  |  |
| --- | --- | --- | --- |
| **GPW’s confirmation of acceptance of the RMA Service Order:** | | | |
| Accepted on (date): | |  | |
| Full name |  | Full name |  |
| Function |  | Function |  |
| Signature |  | Signature |  |