

Report on the Test
of the KDPW Group Business Continuity Planning System
on 26 November 2020

A scheduled test of the Business Continuity Planning System (BCPS) organised by the KDPW Group (KDPW_CCP S.A. and KDPW S.A.) with the participation of KDPW_CCP Participants and KDPW Participants was carried out on 26 November 2020 in order to check the ability of KDPW_CCP S.A. and KDPW S.A. to continue their business in the event of a crisis consisting in lack of communication with the ICT systems at the KDPW Group's Primary Site.

In contrast to previous years, when tests were run on a Saturday (under simulated business day conditions), this test was designed to be carried out in the evening on a business day. The test framework was as follows:

- the test is carried out in the production environments of KDPW_CCP's systems in live operating conditions and only covers operations which do not interfere with live transaction and account balance data;
- simulated crisis resulting in a failure of communications with the KDPW Group's IT systems at the Primary Site and a switch to the Frame Relay and MPLS back-up lines available at the KDPW Group Back-up Site;
- continuity of communications of KDPW_CCP Participants and KDPW Participants is confirmed by sending instructions to kdpw_stream required during the test (instructions do not interfere with the integrity of system data, e.g., balance query);
- completion of all operations required to restore communications after the simulated crisis, with necessary technical support for Participants, within the time limits and to the extent defined in the KDPW Group Business Continuity Planning System Policy and in the test timetable;
- after the test, successfully restoring communications via the telecom lines available at the Primary Site.

The test was successful: the Business Continuity Planning System framework and all technical and organisational measures required in the System were implemented successfully, confirming that KDPW_CCP S.A. and KDPW S.A. are able to continue their functions under the crisis scenario. It should be noted that the test was successful owing to the participation of a vast majority of Participants who managed to transmit instructions to kdpw_stream while the KDPW Group IT systems were only available at the Back-up Site. Furthermore, the test confirmed that KDPW_CCP S.A. and KDPW S.A. are able to recover their functions under the failure scenario with KDPW Group personnel working remotely, reflecting the current circumstances imposed by the on-going COVID-19 pandemic.